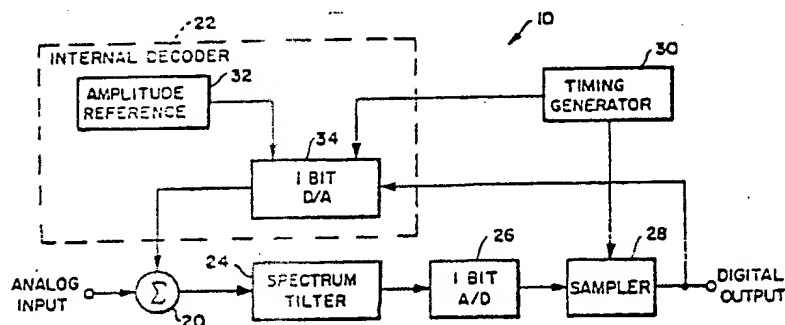




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>3</sup> :  H03K 13/22, 13/256	A1	(11) International Publication Number: WO 82/ 04508  (43) International Publication Date: 23 December 1982 (23.12.82)
(21) International Application Number: PCT/US82/00765 (22) International Filing Date: 4 June 1982 (04.06.82) (31) Priority Application Number: 272,983 (32) Priority Date: 12 June 1981 (12.06.81) (33) Priority Country: US (71) Applicant: GOULD INC. [US/US]; 10 Gould Center, Rolling Meadows, IL 60008 (US). (72) Inventor: HARRIS, Robert, W. ; 1702 Fallsway Drive, Crofton, MD 21114 (US). (74) Agents: PIERCE, K., H. et al.; Gould Inc., Patent Department, Rolling Meadows, IL 60008 (US). (81) Designated States: AU, DE (European patent), FR (European patent), GB (European patent), JP.	Published With international search report.	

## (54) Title: ENHANCED DELTA MODULATION ENCODER



## (57) Abstract

An enhanced delta modulation encoder (10) includes a spectrum filter (24), a 1 bit analog-to-digital converter (26), a sampling circuit (28) and an internal decoder (22). An analog input signal and an internal analog signal from the internal decoder (22) are summed to provide an analog dither signal. The analog dither signal is tilted by the spectrum filter (24) and is provided to the 1 bit analog-to-digital converter (26) which generates a digital signal. The sampling circuit (28) receives the digital signal from the analog-to-digital converter (26) and generates a digital output which is fed back to the internal decoder (22). The spectrum filter (24) comprises at least three integrator circuits (38, 56, 58) and a clipping circuit (36). The three integrator circuits (38, 56, 58) tilt the frequency spectrum of noise above the maximum frequency of interest, the clipping circuit (36) prevents the encoder from becoming unstable.

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## ENHANCED DELTA MODULATION ENCODER

## BACKGROUND OF THE INVENTION

This invention relates to analog-to-digital encoders and in particular to an enhanced analog-to-digital encoder which employs delta modulation.

There is a wide range of commercial, industrial, scientific and military systems which are employed for high accuracy sensing of a variety of physical phenomena. Many of these systems convert the sensed analog data to digital data which may be readily transmitted and processed without degrading the dynamic range, resolution, phase or linearity of the data. In these systems the analog signals provided by the sensors (e.g., microphones, hydrophones, geophones, optical sensors, infrared sensors, image scanners, magnetic field sensors, etc.) are prepared for digitization by signal conditioning electronics including, for example, pre-amplifiers, equalizers, controlled gain circuits, anti-alias filters, sample and hold circuits, etc. The conditioned analog signals are then digitized by analog-to-digital encoders. The primary cause for limited data fidelity and inadequate system performance is the distortion and noise introduced into the data signal by the A/D encoder and by the signal conditioning electronics.

In most systems, the best fidelity achievable by current A/D encoders with their corresponding signal conditioning circuits is 90-100 dB of signal to distortion ratio (SDR). Although there is no single universally applicable measure of A/D encoder fidelity (because of the many different applications and environments in



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which A/D encoders are employed), the signal to distortion ratio defined below is an accurate measure for a broad range of A/D applications.

As defined, the SDR does not encompass DC offset, scale factor error, or phase delay distortion. The SDR (as defined below) encompasses the following A/D encoder errors and performance terms: non-linearity (linearity); differential non-linearity (differential linearity); harmonic distortion; intermodulation distortion; quantizing noise; all other noise (Johnson, Gaussian, etc.); clipping; dynamic range (instantaneous, two-tone); resolution; and monotonicity. Thus, it can be seen from the above list, that the signal to distortion ratio (SDR) takes into account a large number of considerations relevant in the measure of A/D encoder fidelity.

In order to determine the SDR, a maximum signal frequency  $F_{ms}$ , which is the maximum frequency of interest in the spectrum of the sensed analog signal to which the A/D encoder will be applied, is assumed. Two sine wave tones (having tone frequencies of, for example, 71% and 83% of  $F_{ms}$  and having equal amplitude) are combined and fed as an input test signal to the A/D encoder input. The A/D encoder output is subjected to spectral analysis and the distortion power is defined as the sum of all the A/D output power from zero frequency to  $F_{ms}$  except for the energy right at zero frequency and that at the two frequencies in the input test signal. (Any noise which is lost in notching out zero frequency and the two test signal frequencies is estimated and added to the measured distortion power.) The sine wave equivalent signal power is defined as the square of the sum of the RMS amplitudes of the two tones in the input test signal, measured at the A/D encoder output. (This definition of sine wave equivalent signal power gives the power of a single tone



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test signal which would have the same peak to peak amplitude as the actual two-tone test signal.) The signal to distortion ratio (SDR) is defined as the maximum obtainable ratio, as the input signal strength is varied, of the above-defined sine wave equivalent signal power to the above-defined distortion power, and is conventionally expressed in decibels (dB). For A/D encoders which require that a sample and hold circuit precede them, the SDR is measured on the combined sample and hold circuit and A/D encoder.

There are a number of existing classes of methods for A/D encoding, including:

1. Integrate and Count  
Voltage-to-Frequency and Count
2. Successive Approximation
3. Delta Modulation  
Delta Sigma Modulation
4. Flash Conversion
5. Josephson Junction Devices

The class 1 encoders (Integrate and Count and Voltage-to-Frequency and Count) are very slow and are used mainly in digital volt meters and digital multi-meters where the measured analog signal is assumed to be stationary.

The class 2 encoders (Successive Approximation) cannot maintain signal to distortion ratio performance above 90-100 dB because of drift, with time and temperature, of resistor (or capacitor) ratios. These encoders have extremely high sensitivity to component ratios, and require a sample and hold circuit and an anti-alias filter to precede them in most applications. These additional circuits can add substantial distortions to the system. Despite the drawbacks of class 2 encoders, they



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offer the best performance of the five above-mentioned classes for a broad range of applications.

The class 3 encoders (Delta Modulation and Delta Sigma Modulation) generate data too voluminously, for example, a single integrator implementation of this class of encoder generates 20,000 bits per cycle of input signal at the maximum frequency of interest ( $F_{ms}$ ) in order to achieve 120 dB of SDR. This high output data rate relative to the true information content causes untoward difficulties in processing, storing and transmitting the data. The SDR of this class of encoder is governed by a noise floor of so-called "granular noise" (which is a form of quantizing noise). A single integrator implementation of this class of encoder gains only 9 dB of granular noise reduction, and thus gains only 9 dB of SDR, for each doubling of its output bit rate relative to the maximum frequency of interest of its input signal. Therefore, the bit rate required grows exponentially with increasing SDR. Due to this exponential bit rate growth, this class of encoder is most useful for low SDR applications. Double integration implementations of this class of encoder can achieve 15 dB of SDR growth per doubling of bit rate and are therefore useful for applications of medium SDR. However, even with double integration the output bit rate is impractically high for applications requiring 100 dB or more of SDR.

The class 4 encoders (Flash Conversion) are limited to low SDR applications (approximately 60 dB) since each additional 6 dB of SDR doubles the complexity of the flash converters. Thus, the flash converters are not suitable for high SDR (100 dB or more) applications but instead are more suitable for very high bandwidth signals.



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The class 5 encoders (Josephson Junction devices) are not in present commercial use. These devices will operate on the unique quantizing properties of Josephson junctions and offer very high speed and accuracy. However, they will require a cooling system to keep them within several degrees of absolute zero, thereby making them suitable only for specialized applications.

In summary, there is a need in the art for an analog-to-digital encoder having a high signal-to-distortion ratio, having a low data rate relative to the signal frequency bandwidth of interest, and having a reduced amount of granular noise.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide an analog-to-digital encoder which overcomes the deficiencies of prior art encoders.

In particular, it is an object of the present invention to provide an analog-to-digital encoder having a signal to distortion ratio of at least 120 dB.

It is a further object of the present invention to provide an analog-to-digital encoder which reduces signal conditioning errors and cost by overcoming the necessity of providing a sample and hold circuit, an anti-alias filter and a controlled gain amplifier which are required in certain existing analog to digital encoders.

A still further object of the present invention is to provide an analog-to-digital encoder having a lower data output rate than existing delta modulation encoders



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and delta sigma modulation encoders, while providing comparable or better SDR performance for the same frequency band of interest.

The analog-to-digital encoder of the present invention has a number of unique features and advantages as set forth below. The analog-to-digital encoder of the present invention is an enhanced delta modulation encoder (EDME) which employs the established delta modulation technique in an entirely novel manner. The enhanced delta modulation encoder of the present invention performs A/D encoding with higher accuracy, linearity, dynamic range and signal-to-distortion ratio than previously possible for signals of bandwidth in the range of approximately 300 Hz to 300 kHz. It is capable of at least 120 dB of SDR performance over most of this range, while the next best method of A/D conversion in this frequency range (Successive Approximation) is limited to 90 to 100 dB of SDR. Further, the SDR of the enhanced delta modulation encoder of the present invention is more stable with time and temperature than that of the successive approximation encoder. Below 300 Hz signal bandwidth, the enhanced delta modulation encoder's excellent SDR can be matched by delta sigma modulation and delta modulation encoders. However, the enhanced delta modulation encoder of the present invention has a much lower output bit rate than either of these encoders. The enhanced delta modulation encoder can reduce system size, power and cost when replacing the successive approximation encoder because it eliminates the need for anti-alias filter and a sample and hold circuit. A total system employing enhanced delta modulation encoding contains fewer non-integrable analog components than does a system employing a successive approximation encoder. Furthermore, the



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decoder which may be employed to decode the output of the enhanced delta modulation encoder has a much lower cost than does the decoder for other A/D encoders except for the delta modulation encoder and the delta sigma modulation decoder. This feature is potentially beneficial for the future of digital audio high fidelity recording. Thus, recording enhanced delta modulation encoder code on records could reduce player cost since any player for digital hi-fi records will include a decoder.

10           The enhanced delta modulation encoder of the present invention includes a spectrum filter which makes the encoder of the present invention capable of achieving very high fidelity A/D encoding (at least 120 dB of SDR) while avoiding the impractically high bit rates which are  
15 required by existing delta modulation and delta sigma modulation encoders. For example, the enhanced delta modulation encoder of the present invention achieves high fidelity (at least 120 dB of SDR) at approximately 1/100th of the clock rate required by delta modulation  
20 encoders and delta sigma modulation encoders implemented by a single integrator. In addition, the enhanced delta modulation encoder of the present invention achieves high fidelity at a substantially lower clock rate than that required by delta modulation encoders and delta sigma  
25 modulation encoders implemented by a double integrator.

          The enhanced delta modulation encoder output can be digitally processed using standard digital filter technology. Signal conditioning such as equalization and band limiting which is conventionally done prior to A/D  
30 encoding may now be done digitally after enhanced delta modulation encoder encoding. An enhanced delta modulation encoder with a programmable digital post processor can thus implement an encoder with programmable signal



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conditioning. This approach (shifting the signal conditioning to the digital domain) enables the signal conditioning to be more accurate and more stable than analog domain signal conditioning. In addition, it allows the  
5 signal conditioning to be programmable. The high dynamic range of the enhanced delta modulation encoder makes this approach possible. A digital post-processor connected to the enhanced delta modulation encoder can convert its data format to a multi-bit per sample form at a reduced  
10 sample rate. The sample rate must only meet the Nyquist criterion for the signal as it exists after any signal conditioning. Since a digital post-processor can implement stable and accurate anti-alias filtering, the enhanced delta modulation encoder connected to a post-processor can operate in the same manner as the successive approximation encoder output format, without incurring the phase and gain drift, the noise, the phase delay distortion, etc. of an analog anti-alias filter.

The serial, wordless, bit democratic nature of  
20 the enhanced delta modulation encoder output is an advantage in many cases. It can reduce the complexity of telemetry or recording and playback hardware in applications where the A/D encoder output must be telemetered or recorded, because telemetry channels and recording media  
25 generally provide a bit serial format.

These together with other objects and advantages which will become subsequently apparent, reside in the details of construction and operation as more fully hereinafter described and claimed, reference being had to  
30 the accompanying drawings forming a part hereof, wherein like numerals refer to like parts throughout.



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## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A is a block diagram of the enhanced delta modulation encoder of the present invention;

Figure 1B is a block diagram of an alternate embodiment of the enhanced delta modulation encoder of the present invention;

Figures 2A and 2B comprise a circuit diagram of the enhanced delta modulation encoder illustrated in Figure 1A, including a first embodiment of the spectrum tilter 24 of Figure 1A;

Figure 3 is a circuit diagram of a second embodiment of the spectrum tilter 24 of Figure 1A;

Figure 4 is a timing diagram for illustrating the various signals described with reference to Figure 1A;

Figure 5 is a timing diagram for illustrating the various signals described with reference to Figures 2A and 2B;

Figure 6 is a graph for illustrating the gain of the spectrum tilter 24 and the spectral density of the internal analog dither signal provided to the spectrum tilter 24 of Figure 1A; and

Figure 7 is a block diagram illustrating an embodiment of a decoder which can be employed to decode the digital output of the enhanced delta modulation encoder of Figure 1A.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1A is a block diagram of the enhanced delta modulation encoder 10 of the present invention, in which an analog summer 20 forms an analog dither signal by summing an analog input signal and an internal analog



signal generated by an internal decoder 22. A spectrum  
tilter 24 processes the analog dither signal and gener-  
ates a flattened analog signal. Under normal signal con-  
ditions, the spectrum tilter 24 functions as a linear an-  
alog filter. A 1 bit A/D converter 26, which is a com-  
parator in the preferred embodiment, converts the polar-  
ity of the tilted analog signal to a digital signal. A  
sampling circuit 28 periodically samples the digital  
signal output by the 1 bit A/D converter 26, in depend-  
ence upon a timing signal from a timing generator 30, so  
that the bit rate of the digital output is governed by  
the timing signal generated by the timing generator 30  
and generates the enhanced delta modulation encoder's  
digital output which is a serial bit stream. The en-  
hanced delta modulation encoder output is a serial bit  
stream without word boundaries (although it can be trans-  
mitted or stored, etc., in words of any convenient  
size). Thus, the enhanced delta modulation encoder 10  
produces a digital output in which, in any decoding  
process, the bits have equal weight and each bit is oper-  
ative at a unique time. In contrast, the digital output  
of an N-bit sampling A/D encoder (i.e., any of the A/D  
encoders from the above-mentioned classes 1-2 and 4-5)  
has an inherent word structure with N-bits per word, and  
with a ranking of bits within the word. The lack of word  
structure in the enhanced delta modulation encoder's out-  
put simplifies its transmission, recording, storage, and  
playback, because the transmission or recording medium  
need not contain word boundary information. In the pre-  
ferred embodiment, the sampling circuit 28 comprises a 1  
bit digital sampler or a flip-flop.

The digital output of the sampling circuit 28  
is fed back to the internal decoder 22 which receives a  
timing signal from the timing generator 30. The internal



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decoder 22 comprises an amplitude reference 32 and a 1 bit digital-to-analog converter 34. The 1 bit digital-to-analog converter generates the internal analog signal in dependence upon the digital output and the timing signal from the timing generator 30. The internal analog signal is a pulse signal in which one pulse is generated for each bit of the digital output. The area under a particular pulse is determined by the corresponding bit of the digital output from two possible values supplied by the amplitude reference 32. The analog input signal is a signal having spectral contents in a predetermined frequency band of interest. In addition, the analog input signal may have spectral contents outside the frequency band of interest. The analog input signal and the internal signal are summed together to form an analog dither signal. The portions of the analog input signal within the frequency band of interest cancel with the portions of the internal analog signal which are within the frequency band of interest. The spectral content of the analog dither signal within the frequency band of interest is very low. The feedback, i.e., the internal analog signal, tracks the input analog signal for the frequency band of interest because in that region the spectrum tilter has a very high gain. Referring to Figure 6, it has been experimentally demonstrated that the spectral shape of the flattened analog signal at the output of the spectrum tilter 24 is flat. This is equivalent to stating that the spectral shape of the analog dither signal closely approximates the inverse of the frequency response of the spectrum tilter 24. The spectrum tilter 24 has very high gain within the input signal spectral band, thus the analog dither signal has very little energy in the frequency band of interest. The low spectral density



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of the analog dither signal within the analog input signal's frequency band of interest indicates that the internal analog signal which is output by the internal decoder 22 tracks the analog input signal accurately within  
5 the analog input signal's frequency band of interest. Since the output of the internal decoder 22 is generated from the digital output of the sampling circuit 28, the digital output contains sufficient information to reconstruct an accurate image of the analog input signal.

10 The enhancement feature of the enhanced delta modulation encoder 10 is governed by the degree of tilt in the spectrum tilter 24. The accuracy of the enhanced delta modulation encoder 10 is proportional to the effective gain of the spectrum tilter within the analog input  
15 signal spectral band, divided by the spectrum tilter's effective gain in the vicinity of the bit rate frequency  $F_{BIT}$  down to approximately  $.1 F_{BIT}$ .

Referring to Figure 4, the various signals which have been discussed with respect to Figure 1 are  
20 described. Row a of Figure 4 illustrates the timing signal which is transmitted from the timing generator 30 to the sampling circuit 28. Row b illustrates the timing signal which is transmitted from the timing generator 30 to the 1 bit digital-to-analog converter 34 in the internal decoder 22. Row d of Figure 4 illustrates the digital output signal which is output by the sampling circuit 28. Row c of Figure 4 illustrates a data string comprising "0" and "1" bits, which is the data domain representation of the output signal which is output by  
25 the sampling circuit 28. As illustrated in rows a and d of Figure 4, the bit rate of the digital output signal (row d) is governed by the timing signal (row a). Row e in Figure 4 illustrates the internal analog signal which



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is generated by the 1 bit D/A converter 34 in the internal decoder 22. As illustrated in row e, the internal analog signal is a pulse signal which is dependent upon the timing signal (row b) and the data value of the digital output signal (row c).

A key element of the enhanced delta modulation encoder 10 is the spectrum tilter 24. As noted above, the spectrum tilter normally functions as a linear filter and, as a result, the desirable tilt which is produced by the spectrum tilter 24 also causes a phase lag which threatens the stability of the negative feedback loop of the encoder. In linear system control theory, a rule of thumb for stabilizing negative feedback loops states that if the phase lag is maintained at less than  $180^\circ$  at all frequencies up to the frequency of unity loop gain, the feedback loop will be stable. In fact, the more accurate statement of the law is that there can be any phase lag (including greater than  $180^\circ$ ) below the unity loop gain frequency but the phase lag must be less than  $180^\circ$  at the unity loop gain frequency. Thus, if the phase lag is greater than  $180^\circ$  at low frequencies (below unity gain) then a conditionally stable system exists, so that if the loop gain is reduced sufficiently to bring the unity loop gain frequency down into a region where there is more than  $180^\circ$  of phase lag, the system becomes unstable.

The spectrum tilter 24 has less than  $180^\circ$  of phase lag in the frequency region from approximately  $.1F_{BIT}$  to  $F_{BIT}$  and substantially more than  $180^\circ$  of phase lag below  $.1F_{BIT}$ . The tilt is correspondingly strong below  $.1F_{BIT}$  and weak between  $.1F_{BIT}$  and  $F_{BIT}$ . The relatively low phase lag in the region from  $.1F_{BIT}$  to  $F_{BIT}$  provides the enhanced delta modulation encoder feedback loop with a stable operating mode. However, the existence of a greater than  $180^\circ$  phase lag at



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frequencies below  $.1F_{BIT}$  means the enhanced delta modulation encoder feedback loop has the potential for limit cycles, i.e., self-sustaining abnormally large signals in the feedback loop. For this reason, the spectrum tilter 5 24 includes a clipper 36 (see Figure 3) which reduces the phase lag to less than  $180^\circ$  at all frequencies below  $F_{BIT}$  whenever any abnormally large signal occurs within the spectrum tilter 24. Thus, since limit cycles are characterized by abnormally large signals within the 10 spectrum tilter 24, the clipper 36 prevents limit cycles from persisting. Although the clipper is a non-linear element and its phase lag reduction carries a concomitant reduction in spectral tilt, it does not interfere with the normal action of the spectrum tilter 24 because during 15 normal operation of the enhanced delta modulation encoder 10 the signal level in the spectrum tilter 24 is below the threshold of the clipper 36, i.e., the clipper 36 is inactive. Thus, the clipper 36 makes possible the large spectrum tilt which is responsible for the suppression of granular noise within the input signal spectral 20 band of interest. Without the clipper 36, the enhanced delta modulation encoder 10 could go irreversibly into a limit cycle at power-up time or following an external disturbance such as a transient occurring on its power 25 supplies, its input signal going beyond full scale, or a burst of RF energy impinging on it.

Figure 7 illustrates a block diagram of an embodiment of an external decoder which may be employed to decode the digital output signal of the enhanced delta 30 modulation encoder 10 of the present invention. The external decoder includes a one bit digital-to-analog converter 33, an amplitude reference 35 and a low pass filter 37. The one bit D/A converter 33 converts the digital input bit stream (which is the same as the digital



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output of the enhanced delta modulation encoder 10) at one pulse per bit. The area under a particular pulse is determined by the corresponding bit of the digital output (i.e., the input bit stream to the decoder) from two possible values supplied by the amplitude reference 35. A low pass filter 37 passes all of the energy in the pulse stream which is spectrally less than or equal to the maximum signal frequency of interest  $F_{ms}$  and filters out the energy which is spectrally above  $F_{ms}$ . The pulse train which is output by the one bit D/A converter 33 comprises a "true signal image" mixed together with a "dither signal". The dither signal is the difference between the pulse train of fixed size pulses and the true signal image. The low pass filter 37 filters out most of the dither signal because most of the energy in the dither signal lies spectrally above  $F_{ms}$ . The output of the decoder contains, as noise, only that portion of the dither signal which lies spectrally at or below  $F_{ms}$ .

It should be noted that the decoder of Figure 7 is also a decoder which can be used with the delta sigma modulation encoder. The performance enhancement of the enhanced delta modulation encoder of the present invention over the delta sigma modulation encoder is due to the fact that the enhanced delta modulation encoder 10 reduces, to a greater extent, that portion of the analog dither signal which lies spectrally at or below  $F_{ms}$ . The enhanced delta modulation encoder 10 does this by tilting, to a greater extent, the spectrum of the analog dither signal, thereby moving more of the analog dither signal noise out of the signal pass band, allowing it to be eliminated by the low pass filter 37.

The true signal image which exists in the pulse train which is output by the one bit D/A converter 33 is not limited to frequencies at or below  $F_{ms}$  but is a broad



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band image of the analog input signal. The true signal image consists of all the energy in the analog input signal which lies spectrally below half of the enhanced delta modulation encoder output bit rate. Input energy at frequencies up to half of the bit rate is not aliased (i.e., shifted to a different frequency). Input energy above half of the bit rate is aliased, but it is also attenuated to the extent that its strength at the new frequency is comparable to the strength of the dither signal at that frequency. Therefore, aliasing is not a problem in the enhanced delta modulation encoder 10 of the present invention and, as a result, the enhanced delta modulation encoder 10 requires neither an anti-alias filter nor a sample and hold circuit to precede it.

Since the true signal image within the pulse train output by the one bit D/A converter 33 contains a broad band image and is not limited to energy spectrally at or below some  $F_{ms}$ , the value of  $F_{ms}$  can be changed by merely changing the cut-off frequency of the low pass filter 37 in the decoder (Figure 7). The enhanced delta modulation encoder 10 does not need to be modified to change the maximum frequency of interest because the enhanced delta modulation encoder 10 normally encodes the input signal at frequencies far above the maximum frequency of interest.

In contrast to the decoder (Figure 7) for the enhanced delta modulation encoder 10 of the present invention, the decoder for the delta modulation encoder requires that an analog integrator be connected between the one bit D/A converter 33 and the low pass filter 37. The integrator required in the decoder for the delta modulation encoder is tied in with several characteristic differences between the delta modulation encoder on the one



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hand, and the delta sigma modulation encoder and the enhanced delta modulation encoder 10 on the other hand. Thus, the delta modulation encoder has a large DC uncertainty and cannot effectively encode the DC portion of its input, whereas the enhanced delta modulation encoder 10 is capable of encoding DC and AC signals. In addition, the delta modulation encoder goes into overload at a particular signal slope, while the enhanced delta modulation encoder 10 goes into overload at a particular signal amplitude. As a result, the maximum sine wave signal capability of the delta modulation encoder is inversely proportional to the sine wave frequency, whereas the maximum sine wave signal capability of the enhanced delta modulation encoder 10 is independent of frequency. Therefore, the enhanced delta modulation encoder 10 has advantages over the delta modulation encoder because it has no slope limiting and no DC ambiguity.

The decoder illustrated in Figure 7 can be implemented digitally as a digital low pass filter having a single bit per sample input from the enhanced delta modulation encoder 10 and having a multi-bit per sample output, and the output sample rate can be reduced by decimation (i.e., discarding selected samples) as long as the Nyquist criterion is observed. In practice, the output sample rate can be reduced to approximately 2.5  $F_{ms}$  depending on the low pass filter cut-off rate. The digital low pass filter and decimator convert the enhanced delta modulation encoder output to the output code and format of an N-bit sampling A/D encoder. Since this is a digital process, it can be designed to maintain the data's signal to distortion ratio (SDR) and the output of the enhanced delta modulation encoder 10 can be converted (without loss of SDR) to a widely used code having the minimum practically achievable bit rate.



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Figure 1B illustrates an alternate embodiment of the enhanced delta modulation encoder of the present invention where the spectrum tilter 24 is split into spectrum tilter one 24a and spectrum tilter two 24b. The special tilt which is produced by the spectrum tilter 24 may be inserted any place in the negative feedback loop to achieve the desired features of the present invention.

Referring to Figures 2A and 2B, a circuit diagram of the enhanced delta modulation encoder 10, including a first embodiment of the spectrum tilter 24, will be described.

The spectrum tilter 24 comprises three integrating circuits, which are implemented in this embodiment by an integrator 38 and a double integrator 40. The integrator 38 includes capacitor 43, resistors 44, 46 and 48, operational amplifiers 50 and 52, and an integrating capacitor 54. Resistors 44 and 46 and capacitor 43 provide compensation so that operational amplifiers 50 and 52 cooperate to function as a single high quality operational amplifier. The double integrator 40 includes operational amplifiers 56 and 58, capacitors 55 and 57, resistors 59, 61, 63, 65 and 67, and integrating capacitors 60 and 62. Operational amplifiers 56 and 58, resistors 65 and 67 and capacitor 57 function in a manner similar to the previously described operational amplifiers 50 and 52, resistors 44 and 46 and capacitor 43. Capacitor 55 and resistor 61 provide phase lead in the vicinity of or above the frequency of the bit rate. The resistor 63 establishes a zero of response in the complex frequency plane, which gives the double integrator 40 its double integrating characteristic. The clipper circuit 36 comprises four diodes 64, 66, 68 and 70 and resistor 69. With respect to this embodiment of the spectrum tilter 24, the phase lag below  $.1f_{BIT}$  approaches  $270^\circ$ :



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90° for each of the integrating capacitors 54, 60 and 62. The clipper 36 nullifies 180° of this phase lag by effectively by-passing two of the integrating capacitors 60 and 62. Thus, the stability of the enhanced delta modulation encoder 10 is maintained.

The 1 bit A/D converter 26 comprises a comparator 72 and a resistor 74. The sampling circuit 28 comprises a flip-flop 76 which receives the digital signal from the resistor 74 and the timing signal from the timing generator 30, and which generates the digital output from its  $\bar{Q}$ -output.

The timing generator 30 comprises four flip-flops 78, 80, 82 and 84, a NAND gate 86 and an inverter 88. The timing generator 80 generates a number of timing signals described below. The flip-flop 78 provides the timing signal, corresponding to row a in Figure 4, to the sampling circuit 28 comprising the flip-flop 76. The remaining timing signals, corresponding to row b in Figure 4, are provided to the 1 bit digital-to-analog converter 34 in the internal decoder 22.

The 1 bit digital-to-analog converter 34 comprises NAND gates 90, 92 and 94 which receive timing signals from the timing generator 30. The NAND gate 90 also receives the digital data output from the sampling circuit 28 comprising the flip-flop 76. The 1 bit digital-to-analog converter 34 further comprises NAND gates 96 and 98 which provide switching signals A and B. In the preferred embodiment, the 1 bit digital-to-analog converter 34 is a charge pump and further includes P channel J FETS 100 and 102 which are turned on and off by the switching signals A and B. The charge pump 34 further comprises a ladder including transistors 104, 106, 108 and 110. In the preferred embodiment, transistor 108 provides a predetermined constant current. Transistors



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106 and 110 direct the predetermined constant current alternately so that when the current flows through transistor 110 it goes to ground and has no effect. When the current is directed through transistor 106 it flows  
5 through transistor 104 and is switched so that it flows either through transistor 100 to ground or through transistor 102, in dependence upon the switching signals A and B. If the transistor 102 is turned on and the current is directed through transistors 106 and 104, then  
10 the current is provided to the analog summer 20 which in the preferred embodiment is a current summing node 20. Thus, the current is directed through the chain of transistors 108, 106, 104 and 102, and is injected into the summing node 20 for a specific length pulse anytime a "1"  
15 bit is present at the digital output. The transistors 110 and 106 chop the predetermined constant current into pulses so that current is intermittently provided through transistors 104 and 106.

Figure 5 is a graph illustrating the various  
20 signals corresponding to the letters referenced in Figures 2A and 2B. Figure 5 illustrates a timing diagram for a single bit time and the X's (DATA) illustrate where the data can change. A clock signal CK provides four cycles per bit time. The timing signals D and E are  
25 always the same regardless of the logic value of the data signal. The timing signal C is always a down-going pulse near the middle of the bit time. When C is low, a current pulse flows through the transistors 104 and 106, and the transistors 100 and 102 are exposed to the current  
30 pulse. The timing signals A and B control transistors 100 and 102 in a data dependent manner such that transistors 100 and 102 never switch while they are exposed to a current pulse. Thus, each current pulse provided by transistors 104 and 106 is directed in its entirety to



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ground or to the summing junction 20. The timing signals A and B are generated so that there is a break before make action on the switches 100 and 102. This is done by staggering the edges of timing signal A with respect to the edges of timing signal B so that they are never simultaneously low, and thus transistors 100 and 102 are never simultaneously turned on.

Referring to Figure 3, the spectrum tilter 24 comprises four integrating circuits which are implemented, in this embodiment, by an integrator 112 and a triple integrator 114. The integrator 112 comprises an operational amplifier 120 and an integrating capacitor 122. The triple integrator 114 comprises an operational amplifier 124, integrating capacitors 126, 128 and 130, and resistors 131, 132, 134 and 136. As in the embodiment illustrated in Figure 2A, the clipper circuit 36 comprises four diodes 138, 140, 142 and 144 and a resistor 145. A summer 146 sums the two signals which pass through the spectrum tilter 24.

In the embodiment of the spectrum tilter 24 illustrated in Figure 3, the phase lag below  $.1F_{BIT}$  approaches  $360^\circ$ :  $90^\circ$  for each integrating capacitor 122, 126, 128 and 130. The clipper 36 nullifies  $270^\circ$  of this phase lag by by-passing the capacitors 126, 128 and 130. Because the linear filter configuration of the embodiment of Figure 3 has two forward parallel signal paths meeting at the summer 146, it provides several benefits in spectrum tilter performance. The partial interference within the summer 146 between the two signal paths allows greater spectral tilt to be realized below approximately  $.1F_{BIT}$  while maintaining the necessary phase margin (i.e., phase below  $180^\circ$ ) in the frequency range  $.1F_{BIT}$  to  $F_{BIT}$ . Since the clipper 36 operates only on the high phase leg of the spectrum tilter 24, the parallel



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signal path structure also insures low overall phase lag under sufficiently high signal level conditions, due to dominance of the low phase lag leg over the clipped high phase lag leg.

5           The enhanced delta modulation encoder 10 of the present invention may be implemented in numerous ways. For example, although the spectrum tilter 24 has been illustrated by embodiments employing three and four integrating circuits, the spectrum tilter 24 could be expanded to include any desired number (e.g., N, where N is an integer greater than or equal to 3) of integrating circuits as long as the clipper 36 (or several clippers) is connected across N-1 of the integrating capacitors. In addition, the analog input signal can be introduced into the overall feedback loop of the enhanced delta modulation encoder 10 at a point inside the spectrum tilter 24, or at its output. Furthermore, the summer 20 of Figure 1A may be inverting or non-inverting at either of its inputs, and each of the elements in the feedback loop (the spectrum tilter 24, the 1 bit A/D converter 26, the sampling circuit 28 and the 1 bit D/A converter 34) may be inverting or non-inverting. The only constraint is that the feedback loop gain must be negative, so that signs except any one in the loop can be chosen arbitrarily. With respect to the internal decoder 22, the two pulse types which are generated by the internal decoder 22 may differ in height, width, shape, magnitude of area, and time of occurrence relative to a regular bit rate clock. One of the pulse types may be a null-pulse, i.e., the absence of a pulse, and the base line on the internal decoder output may be non-zero. A digital processor may be interposed at the output of the sampling circuit 28 so that the output of the digital processor is the digital output and also the input to the internal decoder 22.



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The many features and advantages of the invention are apparent from the detailed specification and thus it is intended by the appended claims to cover all such features and advantages of the system which fall  
5 within the true spirit and scope of the invention. Further, since numerous modifications and changes will readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and operation shown and described and, accordingly, all suit-  
10 able modifications and equivalents may be resorted to, falling within the scope of the invention.



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## WHAT IS CLAIMED IS:

1. An analog-to-digital encoder for converting an analog input signal to a digital output, comprising:

first means for receiving the analog input signal and for generating an analog dither signal;

5 second means, operatively connected to said first means, for receiving the analog dither signal and for generating a flattened analog signal, said second means having more than 180° of phase lag at certain frequencies, said second means including means for reducing  
10 the phase lag below 180°;

third means, operatively connected to said second means, for receiving the flattened analog signal and for generating the digital output; and

fourth means, operatively connected to said  
15 third means and to said first means, for feeding back the content of the digital output to said first means by generating an internal analog signal and providing the internal analog signal to said first means, said first means adding the analog input signal and internal analog  
20 signal to obtain the analog dither signal.

2. An analog to digital encoder as set forth in claim 1, wherein said second means comprises a spectrum tilter comprising:

a first integrating circuit operatively  
5 connected to said first means;

a second integrating circuit operatively connected to said first integrating means;

a third integrating circuit operatively connected to said second integrating circuit and said  
10 third means; and



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a clipping circuit operatively connected in parallel to said second and third integrating circuits.

3. An analog-to-digital encoder as set forth in claim 1, wherein said second means comprises a spectrum tilter comprising:

a first integrating circuit operatively  
5 connected to said first means;

a second integrating circuit operatively connected to said first integrating circuit;

a third integrating circuit operatively connected to said second integrating circuit;

10 a fourth integrating circuit operatively connected to said third integrating circuit and said third means; and

a clipping circuit, operatively connected in parallel to said second, third and fourth integrating  
15 circuit.

4. An analog-to-digital encoder as set forth in claim 1, wherein said second means comprises a spectrum tilter comprising:

at least three integrating circuits connected between said first means and said third means; and

a clipping circuit connected in parallel to two of said at least three integrating circuits.

5. An analog-to-digital encoder as set forth in claim 1, wherein said second means comprises a spectrum tilter comprising:

N integrating circuits, where N is an integer greater than or equal to 3, connected between said first means of said third means; and



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a clipping circuit connected in parallel to N-1 of said N integrating circuits.

6. An analog-to-digital encoder as set forth in claim 1, 2, 3, 4 or 5, further comprising timing means, operatively connected to said third means and said fourth means, for providing a first timing signal to said  
5 third means and for providing a second timing signal to said fourth means, wherein said third means generates the digital output in dependence upon said first timing signal, and wherein said fourth means generates the internal analog signal in dependence upon said second timing sig-  
10 nal.

7. An analog-to-digital encoder as set forth in claim 6, wherein said fourth means comprises a decoder circuit connected to said timing means, said third means and said first means.

8. An analog-to-digital encoder as set forth in claim 7, wherein said decoder circuit comprises a one bit digital-to-analog converter.

9. An analog-to-digital encoder as set forth in claim 7, wherein said third means comprises:

an analog-to-digital converter operatively connected to said second means; and

5 a sampling circuit operatively connected to said analog-to-digital converter and said decoder circuit.

10. An analog-to-digital encoder as set forth in claim 9, wherein said sampling circuit comprises a flip-flop.



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11. An analog-to-digital encoder as set forth in claim 10, wherein said analog-to-digital converter is a one bit analog-to-digital converter.

12. An analog-to-digital encoder as set forth in claim 11, wherein said one bit analog-to-digital converter is a comparator.

13. An analog-to-digital encoder, comprising:  
    timing means for generating first and second timing signals;  
    first means for providing an analog input  
5 signal;  
    second means, operatively connected to said first means, for providing an analog dither signal;  
    a spectrum tilter, operatively connected to said second means, for receiving the analog dither  
10 signal and for generating a flattened analog signal, said spectrum tilter having more than 180° of phase lag at certain frequencies, said spectrum tilter including means for reducing the phase lag below 180°;  
    an analog to digital converter, opera-  
15 tively connected to said spectrum tilter, for receiving the flattened analog signal and for generating a digital signal;  
    a sampling circuit, operatively connected to said analog-to-digital converter and said timing  
20 means, for providing a digital output, comprising a serial bit stream, in dependence upon the digital signal and the first timing signal; and  
    feedback means, operatively connected to said sampling circuit, said timing means and said second  
25 means, for providing an internal analog signal to said second means in dependence upon the second timing signal



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and the digital output, said second means adding the analog input signal and the internal analog signal to generate the analog dither signal.

14. An analog-to-digital encoder as set forth in claim 13, wherein said spectrum tilter comprises:

a first integrating circuit operatively connected to said second means;

5 a second integrating circuit operatively connected to said first integrating circuit;

a third integrating circuit operatively connected between said second integrating circuit and said analog-to-digital converter; and

10 a clipping circuit operatively connected in parallel to said second and third integrating circuits.

15. An analog-to-digital encoder as set forth in claim 13, wherein said spectrum tilter comprises:

a first integrating circuit operatively connected to said second means;

5 a second integrating circuit operatively connected to said first integrating circuit;

a third integrating circuit operatively connected to said second integrating circuit;

10 a fourth integrating circuit operatively connected between said third integrating circuit and said analog-to-digital converter; and

a clipping circuit, operatively connected in parallel to said second, third and fourth integrating circuits.

16. An analog-to-digital encoder as set forth in claim 13, wherein said spectrum filter comprises:



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at least three integrating circuits connected between said second means and said analog to digital converter; and

a clipping circuit connected in parallel to two of said at least three integrating circuits.

17. An analog-to-digital encoder as set forth in claim 13, wherein said spectrum tilter comprises:

N integrating circuits, where N is an integer greater than or equal to 3, connected between said second means and said analog-to-digital converter; and

a clipping circuit connected in parallel to N-1 of said N integrating circuits.

18. An analog-to-digital encoder as set forth in claim 13, 14, 15, 16 or 17, wherein said feedback means comprises a decoder circuit connected to said timing means, said sampling circuit and said second means.

19. An analog-to-digital encoder as set forth in claim 18, wherein said decoder circuit comprises a one bit digital-to-analog converter.

20. An analog-to-digital encoder as set forth in claim 19, wherein said analog-to-digital converter is a one bit analog-to-digital converter.

21. An analog-to-digital encoder, comprising:  
timing means for generating a first timing signal;

first means for providing an analog input signal;

second means, operatively connected to said first means, for providing an analog dither signal;



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a spectrum tilter, operatively connected to said second means, for receiving the analog dither signal and for generating a flattened analog signal, said spectrum tilter comprising:

at least three integrating circuits operatively connected to said second means; and

a clipping circuit connected in parallel to two of said at least three integrating circuits;

an analog-to-digital converter, operatively connected to said spectrum tilter, for receiving the flattened analog signal and generating a digital signal; and

feedback means, operatively connected to said analog-to-digital converter, said timing means and said second means, for providing an internal analog signal to said second means in dependence upon the first timing signal and the digital signal, said second means adding the analog input signal and the internal analog signal to obtain the analog dither signal.

22. An analog-to-digital encoder as set forth in claim 21, wherein said timing means generates a second timing signal, further comprising a sampling circuit, operatively connected between said analog-to-digital converter, said timing means and said feedback means, for providing a digital output comprising a serial bit stream in dependence upon said digital signal and said second timing signal.

23. An analog-to-digital encoder for converting an analog input signal to a digital output, comprising:

first means for receiving the analog input signal and for generating an analog dither signal;



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second means, operatively connected to said first means, for receiving the analog dither signal and for generating a flattened analog signal;

third means, operatively connected to said  
10 second means, for receiving the flattened analog signal and for generating the digital output;

fourth means, operatively connected to said third means, for receiving the digital output and for generating an internal analog signal; and

15 fifth means, operatively connected to said fourth means and said first means, for receiving the internal analog signal and for generating a flattened internal analog signal, said first means adding the analog input signal and the flattened internal analog signal to  
20 obtain the analog dither signal, said second means and said fifth means together generating more than 180° of phase lag at certain frequencies, one of said second means and said fifth means including means for reducing the phase lag below 180°.

24. Any and all features of novelty described, referred to, exemplified, or shown.



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FIG. 1A.

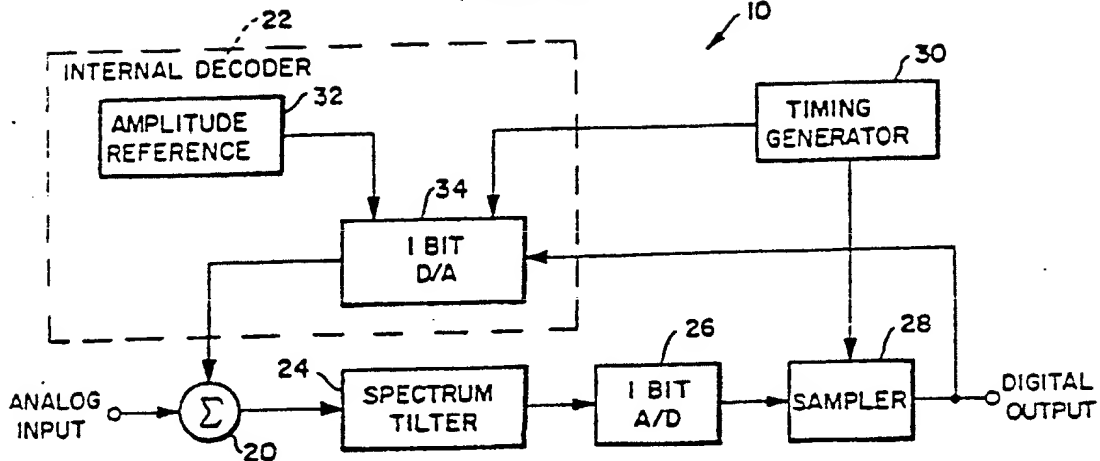


FIG. 3.

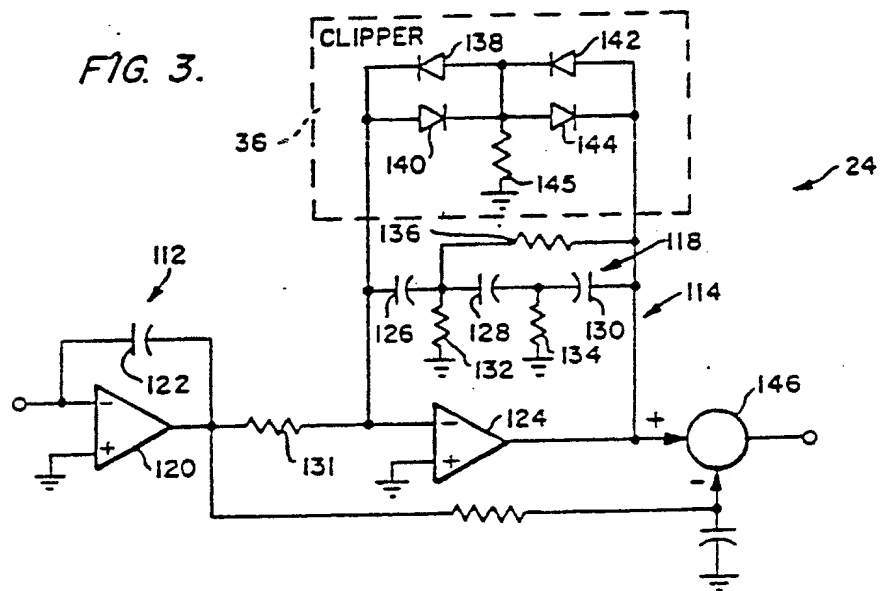
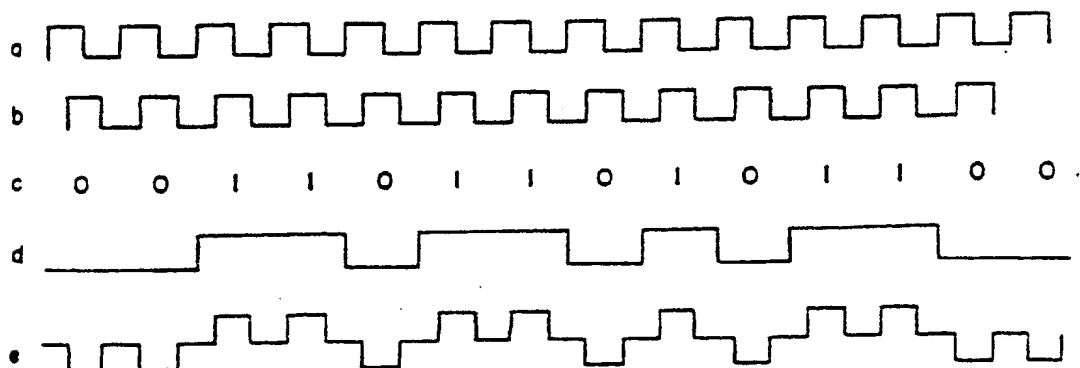
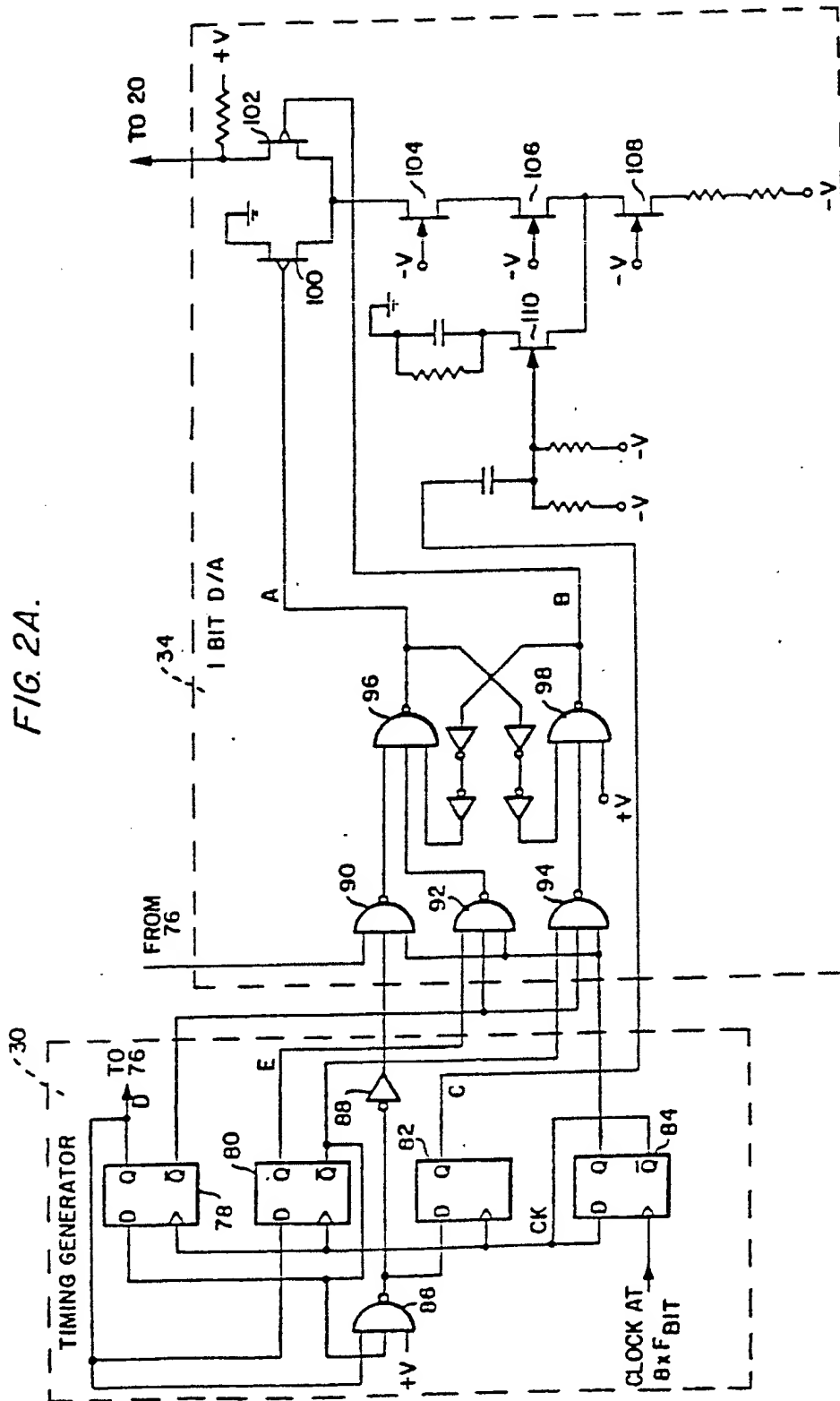
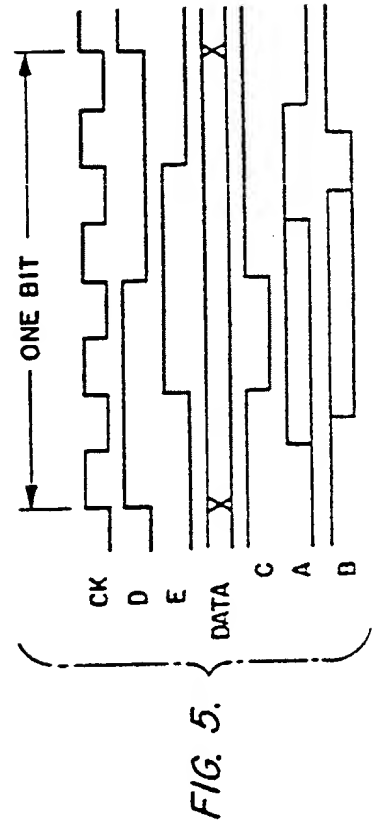
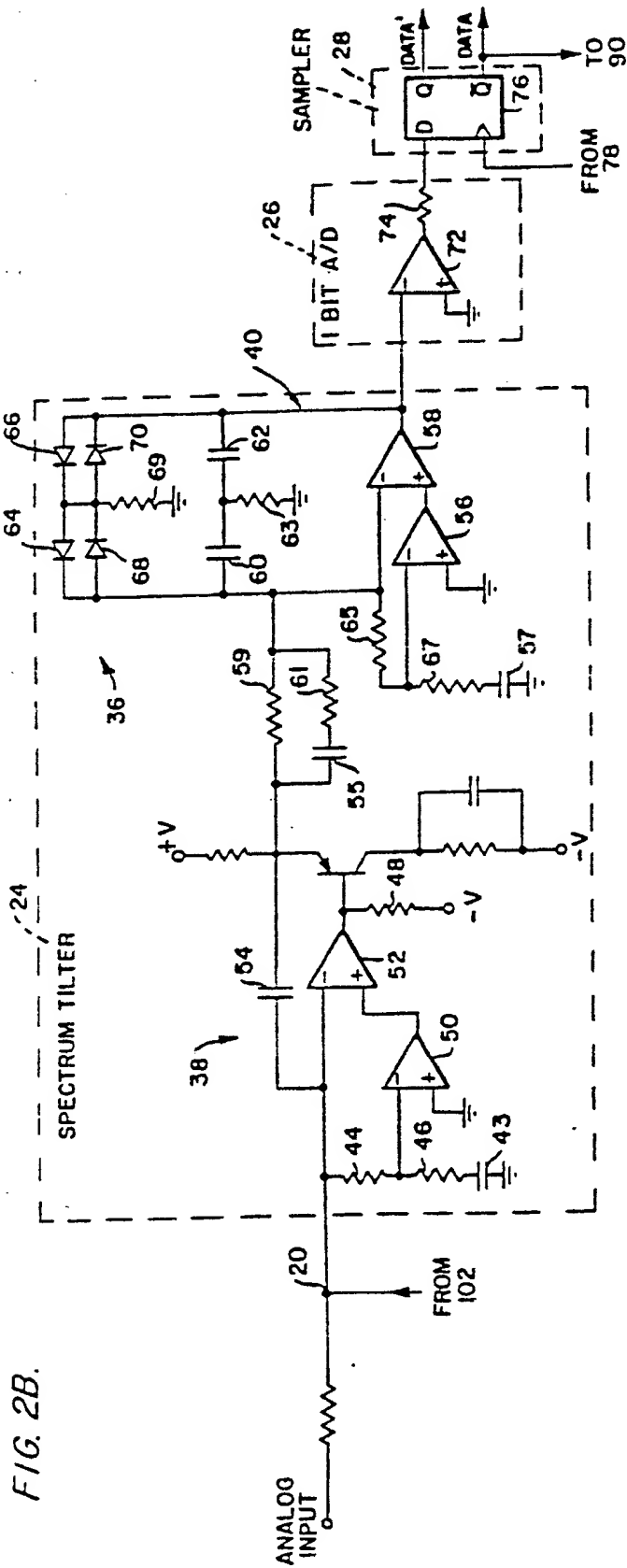


FIG. 4.







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FIG. 1B.

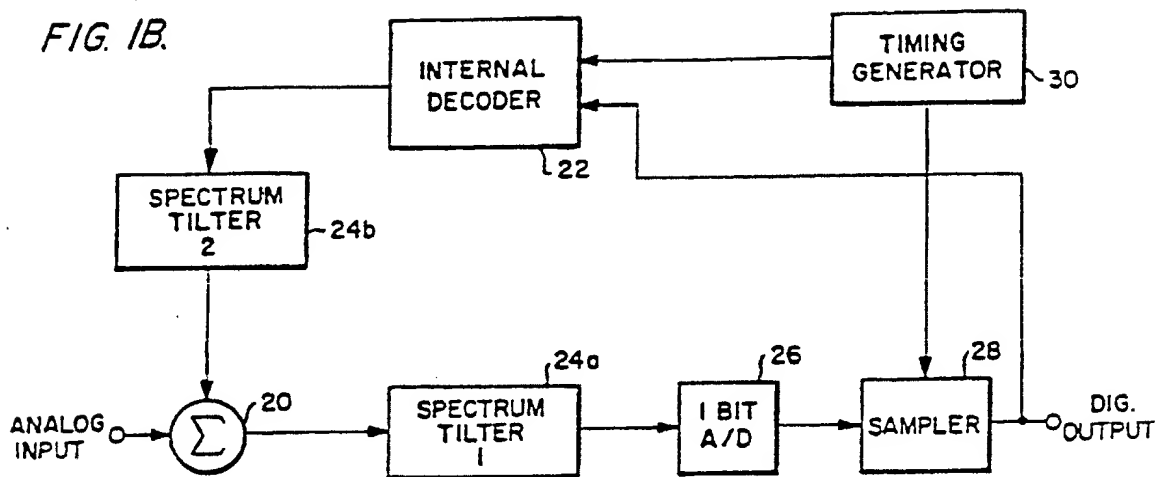


FIG. 6.

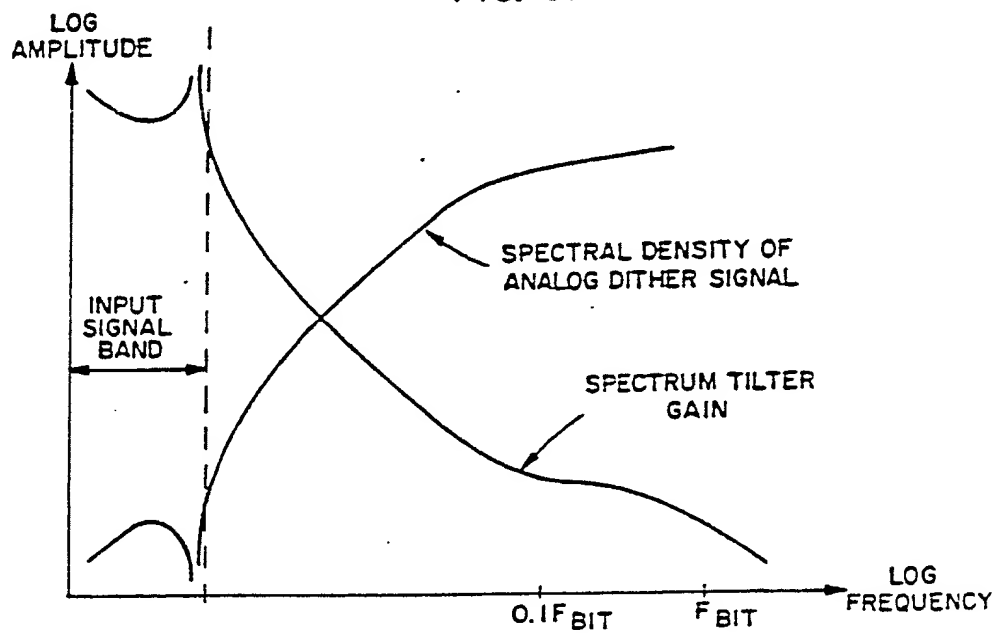
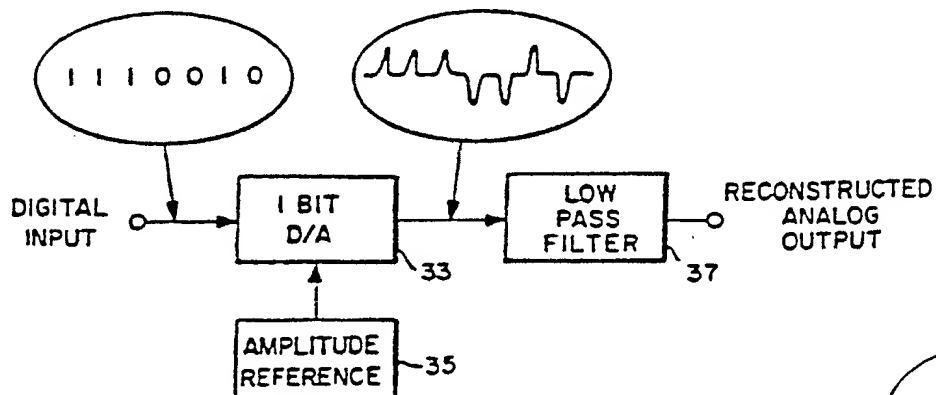


FIG. 7.



# INTERNATIONAL SEARCH REPORT

International Application No PCT/US82/00765

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int. Cl. <sup>3</sup> H 03 K 13/22, 13/256		
U.S. Cl. 375/28; 340/347AD		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
U. S.	375/1.26, 28, 29, 30, 34; 358/133, 135, 167; 332/11D; 340/347AD	
Documentation Searched other than Minimum Documentation to the extent that such Documents are Included in the Fields Searched <sup>5</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category <sup>6</sup>	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
A	US, A, 4,161,633 (Treiber) 17 July 1979	1-24
A,P	US, A, 4,313,204 (De Freitas) 26 January 1982	1-24
A	US, A, 3,562,420 (Thompson) 09 February 1971	1-24
A	US, A, 3,244,808 (Roberts) 05 April 1966	1-24
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>* Special categories of cited documents: <sup>15</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"Δ" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>2</sup>		Date of Mailing of this International Search Report <sup>2</sup>
31 August 1982		08 SEP 1982
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>10</sup>
ISA/US		<i>Benedict V. Safarik</i>